

# Strongly Lifiable Schemes and the Kawamata-Viehweg Vanishing in Positive Characteristic II \*

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## Abstract

A smooth scheme  $X$  over a field  $k$  of positive characteristic is said to be strongly liftable, if  $X$  and all prime divisors on  $X$  can be lifted simultaneously over  $W_2(k)$ . In this paper, first we prove that smooth toric varieties are strongly liftable. As a corollary, we obtain the Kawamata-Viehweg vanishing theorem for smooth projective toric varieties. Second, we prove the Kawamata-Viehweg vanishing theorem for normal projective surfaces which are birational to a strongly liftable smooth projective surface. Finally, we deduce the cyclic cover trick over  $W_2(k)$ , which can be used to construct a large class of liftable smooth projective varieties.

## 1 Introduction

Throughout this paper, we always work over an *algebraically closed field  $k$  of characteristic  $p > 0$*  unless otherwise stated. A smooth scheme  $X$  is said to be strongly liftable, if  $X$  and all prime divisors on  $X$  can be lifted simultaneously over  $W_2(k)$ . This notion was first introduced in [Xie10c] to study the Kawamata-Viehweg vanishing theorem in positive characteristic, furthermore, some examples and properties of strongly liftable schemes were also given in [Xie10c].

In this paper, we shall continue to study strongly liftable schemes. First of all, we find an important class of strongly liftable schemes with simple structures.

**Theorem 1.1.** *Smooth toric varieties are strongly liftable over  $W_2(k)$ .*

As a consequence, we obtain the Kawamata-Viehweg vanishing theorem on smooth projective toric varieties for ample  $\mathbb{Q}$ -divisors which are not necessarily torus invariant.

**Corollary 1.2.** *Let  $X$  be a smooth projective toric variety of dimension  $d$ ,  $H$  an ample  $\mathbb{Q}$ -divisor on  $X$ , and  $D$  a simple normal crossing divisor containing  $\text{Supp}(\langle H \rangle)$ . Then*

$$H^i(X, \Omega_X^j(\log D)(-\lceil H \rceil)) = 0 \quad \text{holds for any } i + j < \inf(d, p).$$

*In particular,  $H^i(X, K_X + \lceil H \rceil) = 0$  holds for any  $i > d - \inf(d, p)$ .*

Second, we generalize [Xie10c, Theorem 1.4] slightly to the case where no singularity assumption is made.

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**Theorem 1.3.** *Let  $X$  be a normal projective surface and  $H$  a nef and big  $\mathbb{Q}$ -divisor on  $X$ . If  $X$  is birational to a strongly liftable smooth projective surface  $Z$ , then  $H^1(X, K_X + \lceil H \rceil) = 0$  holds.*

As a corollary, we obtain the Kawamata-Viehweg vanishing theorem for rational surfaces, which is a generalization of [Xie10b, Theorem 1.4].

**Corollary 1.4.** *Let  $X$  be a normal projective rational surface and  $H$  a nef and big  $\mathbb{Q}$ -divisor on  $X$ . Then  $H^1(X, K_X + \lceil H \rceil) = 0$  holds.*

Finally, we deduce an explicit statement of the cyclic cover trick over  $W_2(k)$  (see Theorem 4.1 for more details). By means of the cyclic cover trick, we can construct a large class of liftable smooth projective varieties from certain strongly liftable varieties with simple structures, e.g. toric varieties. Namely, we have the following corollary.

**Corollary 1.5.** *Let  $X$  be a smooth projective toric variety, and  $\mathcal{L}$  an invertible sheaf on  $X$ . Let  $N$  be a positive integer prime to  $p$ , and  $D$  an effective divisor on  $X$  with  $\mathcal{L}^N = \mathcal{O}_X(D)$  and  $\text{Sing}(D_{\text{red}}) = \emptyset$ . Let  $\pi : Y \rightarrow X$  be the cyclic cover obtained by taking the  $N$ -th root out of  $D$ . Then  $Y$  is a liftable smooth projective scheme.*

Especially, it follows from Corollary 1.5 that there do exist many liftable smooth projective varieties of general type, whose existence is helpful for studying birational geometry of algebraic varieties in positive characteristic. In general, the schemes obtained by taking cyclic covers over strongly liftable schemes are no longer strongly liftable (see Remark 4.6 for more details), which shows that the class of strongly liftable schemes is really restrictive.

In §2, we will recall some definitions and preliminary results of liftings over  $W_2(k)$ . §3 is devoted to the proofs of the main theorems. The cyclic cover trick over  $W_2(k)$  will be treated in §4. In §5, we will give some corrections to the mistakes in [Xie10c]. For the necessary notions and results in birational geometry, we refer the reader to [KMM87] and [KM98].

**Notation.** We use  $\sim$  to denote linear equivalence,  $\equiv$  to denote numerical equivalence, and  $[B] = \sum [b_i]B_i$  (resp.  $\lceil B \rceil = \sum \lceil b_i \rceil B_i$ ,  $\langle B \rangle = \sum \langle b_i \rangle B_i$ ,  $\{B\} = \sum \{b_i\}B_i$ ) to denote the round-down (resp. round-up, fractional part, upper fractional part) of a  $\mathbb{Q}$ -divisor  $B = \sum b_i B_i$ , where for a real number  $b$ ,  $[b] := \max\{n \in \mathbb{Z} \mid n \leq b\}$ ,  $\lceil b \rceil := -[-b]$ ,  $\langle b \rangle := b - [b]$  and  $\{b\} := \lceil b \rceil - b$ . We use  $\text{Sing}(D_{\text{red}})$  to denote the singular locus of the reduced part of a divisor  $D$ .

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## 2 Preliminaries

**Definition 2.1.** Let  $W_2(k)$  be the ring of Witt vectors of length two of  $k$ . Then  $W_2(k)$  is flat over  $\mathbb{Z}/p^2\mathbb{Z}$ , and  $W_2(k) \otimes_{\mathbb{Z}/p^2\mathbb{Z}} \mathbb{F}_p = k$ . For the explicit construction and further properties of  $W_2(k)$ , we refer the reader to [Se62, II.6]. The following definition [EV92, Definition 8.11] generalizes the definition [DI87, 1.6] of liftings of  $k$ -schemes over  $W_2(k)$ .

Let  $X$  be a noetherian scheme over  $k$ , and  $D = \sum D_i$  a reduced Cartier divisor on  $X$ . A lifting of  $(X, D)$  over  $W_2(k)$  consists of a scheme  $\tilde{X}$  and closed subschemes

$\tilde{D}_i \subset \tilde{X}$ , all defined and flat over  $W_2(k)$  such that  $X = \tilde{X} \times_{\text{Spec } W_2(k)} \text{Spec } k$  and  $D_i = \tilde{D}_i \times_{\text{Spec } W_2(k)} \text{Spec } k$ . We write  $\tilde{D} = \sum \tilde{D}_i$  and say that  $(\tilde{X}, \tilde{D})$  is a lifting of  $(X, D)$  over  $W_2(k)$ , if no confusion is likely.

Let  $\mathcal{L}$  be an invertible sheaf on  $X$ . A lifting of  $(X, \mathcal{L})$  consists of a lifting  $\tilde{X}$  of  $X$  over  $W_2(k)$  and an invertible sheaf  $\tilde{\mathcal{L}}$  on  $\tilde{X}$  such that  $\tilde{\mathcal{L}}|_X = \mathcal{L}$ . For simplicity, we say that  $\tilde{\mathcal{L}}$  is a lifting of  $\mathcal{L}$  on  $\tilde{X}$ , if no confusion is likely.

Let  $\tilde{X}$  be a lifting of  $X$  over  $W_2(k)$ . Then  $\mathcal{O}_{\tilde{X}}$  is flat over  $W_2(k)$ , hence flat over  $\mathbb{Z}/p^2\mathbb{Z}$ . Note that there are an exact sequence of  $\mathbb{Z}/p^2\mathbb{Z}$ -modules:

$$0 \rightarrow p \cdot \mathbb{Z}/p^2\mathbb{Z} \rightarrow \mathbb{Z}/p^2\mathbb{Z} \xrightarrow{r} \mathbb{Z}/p\mathbb{Z} \rightarrow 0$$

and a  $\mathbb{Z}/p^2\mathbb{Z}$ -module isomorphism  $p : \mathbb{Z}/p\mathbb{Z} \rightarrow p \cdot \mathbb{Z}/p^2\mathbb{Z}$ . Tensoring the above by  $\mathcal{O}_{\tilde{X}}$ , we obtain an exact sequence of  $\mathcal{O}_{\tilde{X}}$ -modules:

$$0 \rightarrow p \cdot \mathcal{O}_{\tilde{X}} \rightarrow \mathcal{O}_{\tilde{X}} \xrightarrow{r} \mathcal{O}_X \rightarrow 0, \quad (1)$$

and an  $\mathcal{O}_{\tilde{X}}$ -module isomorphism

$$p : \mathcal{O}_X \rightarrow p \cdot \mathcal{O}_{\tilde{X}}, \quad (2)$$

where  $r$  is the reduction modulo  $p$  satisfying  $p(x) = p\tilde{x}$ ,  $r(\tilde{x}) = x$  for  $x \in \mathcal{O}_X$ ,  $\tilde{x} \in \mathcal{O}_{\tilde{X}}$ .

The following lemma has already been proved in [EV92, Lemmas 8.13 and 8.14].

**Lemma 2.2.** *Let  $(\tilde{X}, \tilde{D})$  be a lifting of  $(X, D)$  as in Definition 2.1. If  $X$  is smooth over  $k$  and  $D \subset X$  is simple normal crossing, then  $\tilde{X}$  is smooth over  $W_2(k)$  and  $\tilde{D} \subset \tilde{X}$  is relatively simple normal crossing over  $W_2(k)$ .*

*Proof.* Since the statement is local, we may assume that there is an étale morphism  $\varphi : X \rightarrow \mathbb{A}_k^n = \text{Spec } k[t_1, \dots, t_n]$ , such that  $\varphi^*(t_i)$  give a regular system of parameters  $(x_1, \dots, x_n)$  on  $X$ , and  $D = \sum D_i \subset X$  is defined by the equation  $x_1 \cdots x_r = 0$  for some  $r \leq n$ . Take  $\tilde{x}_i \in \mathcal{O}_{\tilde{X}}$  with  $r(\tilde{x}_i) = x_i$  ( $1 \leq i \leq n$ ), and define

$$\tilde{\varphi}^* : W_2(k)[t_1, \dots, t_n] \rightarrow \mathcal{O}_{\tilde{X}}$$

as a  $W_2(k)$ -algebra homomorphism by  $\tilde{\varphi}^*(t_i) = \tilde{x}_i$  ( $1 \leq i \leq n$ ), which gives rise to a morphism  $\tilde{\varphi} : \tilde{X} \rightarrow \mathbb{A}_{W_2(k)}^n$ . First of all, we shall prove that  $\tilde{\varphi}$  is an étale morphism, which implies that  $\tilde{X}$  is smooth over  $W_2(k)$ .

Without loss of generality, we may assume that  $\mathcal{O}_X$  is a free  $\mathcal{O}_{\mathbb{A}_k^n}$ -module with generators  $g_1, \dots, g_m$ . Take  $\tilde{g}_i \in \mathcal{O}_{\tilde{X}}$  lifting  $g_i$  ( $1 \leq i \leq m$ ), then for any  $\tilde{x} \in \mathcal{O}_{\tilde{X}}$ , we can write  $x = r(\tilde{x}) = \sum_{i=1}^m \lambda_i g_i$  for some  $\lambda_i \in \mathcal{O}_{\mathbb{A}_k^n}$ . Take  $\tilde{\lambda}_i \in \mathcal{O}_{\mathbb{A}_{W_2(k)}^n}$  lifting  $\lambda_i$  ( $1 \leq i \leq m$ ), then by the exact sequence (1) we have  $\tilde{x} - \sum_{i=1}^m \tilde{\lambda}_i \tilde{g}_i \in p \cdot \mathcal{O}_{\tilde{X}}$ . Thus we can find  $\tilde{\mu}_i \in \mathcal{O}_{\mathbb{A}_{W_2(k)}^n}$  ( $1 \leq i \leq m$ ), such that  $\mu_i = r(\tilde{\mu}_i)$  and

$$\tilde{x} - \sum_{i=1}^m \tilde{\lambda}_i \tilde{g}_i = p \left( \sum_{i=1}^m \mu_i g_i \right) = \sum_{i=1}^m p \tilde{\mu}_i \tilde{g}_i,$$

hence we have  $\tilde{x} = \sum_{i=1}^m (\tilde{\lambda}_i + p \tilde{\mu}_i) \tilde{g}_i$ . Assume  $\sum_{i=1}^m \tilde{\lambda}_i \tilde{g}_i = 0$  for some  $\tilde{\lambda}_i \in \mathcal{O}_{\mathbb{A}_{W_2(k)}^n}$ . Then  $\lambda_i = r(\tilde{\lambda}_i)$  satisfy  $\sum_{i=1}^m \lambda_i g_i = 0$ , which implies  $\lambda_i = 0$  ( $1 \leq i \leq m$ ). Thus we

can find  $\tilde{\mu}_i \in \mathcal{O}_{\mathbb{A}_{W_2(k)}^n}$  ( $1 \leq i \leq m$ ), such that  $\mu_i = r(\tilde{\mu}_i)$  and  $\tilde{\lambda}_i = p(\mu_i) = p\tilde{\mu}_i$ , hence

$$p\left(\sum_{i=1}^m \mu_i g_i\right) = \sum_{i=1}^m p\tilde{\mu}_i \tilde{g}_i = \sum_{i=1}^m \tilde{\lambda}_i \tilde{g}_i = 0.$$

Since  $p$  is an isomorphism, we have  $\sum_{i=1}^m \mu_i g_i = 0$ , hence  $\mu_i = 0$  and  $\tilde{\lambda}_i = 0$  ( $1 \leq i \leq m$ ). Therefore  $\mathcal{O}_{\tilde{X}}$  is a free  $\mathcal{O}_{\mathbb{A}_{W_2(k)}^n}$ -module and  $\tilde{\varphi}$  is flat.

Since  $\varphi : X \rightarrow \mathbb{A}_k^n$  is étale, we have  $\Omega_{X/k}^1 = \varphi^* \Omega_{\mathbb{A}_k^n/k}^1 = \bigoplus_{i=1}^n \mathcal{O}_X dx_i$ . By definition, we have  $\tilde{\varphi}^* \Omega_{\mathbb{A}_{W_2(k)}^n/W_2(k)}^1 = \bigoplus_{i=1}^n \mathcal{O}_{\tilde{X}} d\tilde{x}_i$ . Combining the exact sequence (1) and the isomorphism (2), we obtain an exact sequence of  $\mathcal{O}_{\tilde{X}}$ -modules:

$$0 \rightarrow \mathcal{O}_X \xrightarrow{p} \mathcal{O}_{\tilde{X}} \xrightarrow{r} \mathcal{O}_X \rightarrow 0. \quad (3)$$

Tensoring the exact sequence (3) by  $\Omega_{\tilde{X}/W_2(k)}^1$ , we obtain an exact sequence:

$$\Omega_{X/k}^1 \xrightarrow{p} \Omega_{\tilde{X}/W_2(k)}^1 \xrightarrow{r} \Omega_{X/k}^1 \rightarrow 0.$$

We shall prove that the natural homomorphism  $\tilde{\varphi}^* \Omega_{\mathbb{A}_{W_2(k)}^n/W_2(k)}^1 \rightarrow \Omega_{\tilde{X}/W_2(k)}^1$  is surjective. For any  $\tilde{\omega} \in \Omega_{\tilde{X}/W_2(k)}^1$ , we can write  $\omega = r(\tilde{\omega}) = \sum_{i=1}^n \lambda_i dx_i \in \Omega_{X/k}^1$  for some  $\lambda_i \in \mathcal{O}_X$ . Take  $\tilde{\lambda}_i \in \mathcal{O}_{\tilde{X}}$  lifting  $\lambda_i$  ( $1 \leq i \leq n$ ), then  $\tilde{\omega} - \sum_{i=1}^n \tilde{\lambda}_i d\tilde{x}_i \in \text{Im}(\Omega_{X/k}^1 \xrightarrow{p} \Omega_{\tilde{X}/W_2(k)}^1)$ . Thus we can find  $\tilde{\mu}_i \in \mathcal{O}_{\tilde{X}}$  ( $1 \leq i \leq n$ ) such that  $\mu_i = r(\tilde{\mu}_i)$  and

$$\tilde{\omega} - \sum_{i=1}^n \tilde{\lambda}_i d\tilde{x}_i = p\left(\sum_{i=1}^n \mu_i dx_i\right) = \sum_{i=1}^n p\tilde{\mu}_i d\tilde{x}_i,$$

hence  $\tilde{\omega} = \sum_{i=1}^n (\tilde{\lambda}_i + p\tilde{\mu}_i) d\tilde{x}_i$ , so  $\tilde{\varphi}^* \Omega_{\mathbb{A}_{W_2(k)}^n/W_2(k)}^1 \rightarrow \Omega_{\tilde{X}/W_2(k)}^1$  is surjective. By the first exact sequence associated to  $\tilde{\varphi} : \tilde{X} \rightarrow \mathbb{A}_{W_2(k)}^n$  [Ha77, Proposition II.8.11], we have  $\Omega_{\tilde{X}/\mathbb{A}_{W_2(k)}^n}^1 = 0$ , which together with the flatness of  $\tilde{\varphi}$  implies that  $\tilde{\varphi}$  is étale (see [Ha77, Exercice III.10.3]), so  $\tilde{X}$  is smooth over  $W_2(k)$ .

By assumption,  $D_i$  is defined by the equation  $x_i = 0$  ( $1 \leq i \leq r$ ). Since  $\tilde{D}_i$  is a lifting of  $D_i$  over  $W_2(k)$ , the flatness of  $\mathcal{O}_{\tilde{X}}$  and  $\mathcal{O}_{\tilde{D}_i}$  over  $W_2(k)$  implies that the ideal sheaf  $\mathcal{I}_{\tilde{D}_i}$  of  $\tilde{D}_i$  is flat over  $W_2(k)$ . Thus we have an exact sequence:

$$0 \rightarrow p \cdot \mathcal{I}_{\tilde{D}_i} \rightarrow \mathcal{I}_{\tilde{D}_i} \xrightarrow{r} \mathcal{I}_{D_i} \rightarrow 0,$$

and an isomorphism  $p : \mathcal{I}_{D_i} \rightarrow p \cdot \mathcal{I}_{\tilde{D}_i}$ , where  $\mathcal{I}_{D_i}$  is the ideal sheaf of  $D_i$ . For any  $\tilde{g} \in \mathcal{I}_{\tilde{D}_i}$ , we can write  $g = r(\tilde{g}) = \lambda_i x_i$  for some  $\lambda_i \in \mathcal{O}_X$ . Take  $\tilde{\lambda}_i \in \mathcal{O}_{\tilde{X}}$  lifting  $\lambda_i$  ( $1 \leq i \leq r$ ), then  $\tilde{g} - \tilde{\lambda}_i \tilde{x}_i \in p \cdot \mathcal{I}_{\tilde{D}_i}$ . Thus we can find  $\tilde{\mu}_i \in \mathcal{O}_{\tilde{X}}$  ( $1 \leq i \leq r$ ) such that  $\mu_i = r(\tilde{\mu}_i)$  and

$$\tilde{g} - \tilde{\lambda}_i \tilde{x}_i = p(\mu_i x_i) = p\tilde{\mu}_i \tilde{x}_i,$$

hence  $\tilde{g} = (\tilde{\lambda}_i + p\tilde{\mu}_i) \tilde{x}_i$ , so  $\mathcal{I}_{\tilde{D}_i}$  is generated by  $\tilde{x}_i$ , i.e.  $\tilde{x}_i = 0$  is a defining equation for  $\tilde{D}_i$  ( $1 \leq i \leq r$ ). Thus  $\tilde{D} = \sum \tilde{D}_i \subset \tilde{X}$  is relatively simple normal crossing over  $W_2(k)$ .  $\square$

We can use Lemma 2.2 to deduce Bertini's theorem for ample invertible sheaves on smooth projective schemes over  $W_2(k)$ .

**Theorem 2.3.** *Let  $\tilde{X}$  be a smooth projective scheme over  $W_2(k)$ , and  $\tilde{\mathcal{L}}$  an ample invertible sheaf on  $\tilde{X}$ . Then there is a positive integer  $m$  such that  $\tilde{\mathcal{L}}^m$  is very ample and associated to a general section  $\tilde{s} \in H^0(\tilde{X}, \tilde{\mathcal{L}}^m)$ , the divisor of zeros  $\tilde{D} = \text{div}_0(\tilde{s})$  is smooth over  $W_2(k)$ .*

*Proof.* The natural surjection  $W_2(k) \xrightarrow{r} k$  induces the closed immersion  $\iota : \text{Spec } k \hookrightarrow \text{Spec } W_2(k)$ . Let  $X = \tilde{X} \times_{\text{Spec } W_2(k)} \text{Spec } k$ ,  $\iota : X \hookrightarrow \tilde{X}$  the closed immersion, and  $\mathcal{L} = \iota^* \tilde{\mathcal{L}} = \tilde{\mathcal{L}}|_X$  the induced invertible sheaf on  $X$ .

Since  $\tilde{\mathcal{L}}$  is ample, there is a positive integer  $m$  such that  $\tilde{\mathcal{L}}^m$  is very ample, hence induces a closed immersion  $\tilde{\varphi} : \tilde{X} \rightarrow \mathbb{P}_{W_2(k)}^N$  with  $\tilde{\varphi}^* \mathcal{O}_{\mathbb{P}_{W_2(k)}^N}(1) = \tilde{\mathcal{L}}^m$ . Let  $\iota : \mathbb{P}_k^N \hookrightarrow \mathbb{P}_{W_2(k)}^N$  be the closed immersion induced by  $\iota : \text{Spec } k \hookrightarrow \text{Spec } W_2(k)$ . Then it is easy to see that  $X = \tilde{X} \times_{\mathbb{P}_{W_2(k)}^N} \mathbb{P}_k^N$ . Let  $\varphi : X \rightarrow \mathbb{P}_k^N$  be the induced closed immersion.

$$\begin{array}{ccccc} X & \xrightarrow{\varphi} & \mathbb{P}_k^N & \longrightarrow & \text{Spec } k \\ \downarrow \iota & & \downarrow \iota & & \downarrow \iota \\ \tilde{X} & \xrightarrow{\tilde{\varphi}} & \mathbb{P}_{W_2(k)}^N & \longrightarrow & \text{Spec } W_2(k) \end{array}$$

Then we have  $\varphi^* \mathcal{O}_{\mathbb{P}_k^N}(1) = \mathcal{L}^m$ , hence  $\mathcal{L}$  is ample. Taking  $m$  sufficiently large, we may assume that  $\mathcal{L}^m$  is very ample and  $H^1(X, \mathcal{L}^m) = 0$ . Tensoring the exact sequence (3) by  $\tilde{\mathcal{L}}^m$ , we obtain an exact sequence:

$$0 \rightarrow \mathcal{L}^m \xrightarrow{p} \tilde{\mathcal{L}}^m \xrightarrow{r} \mathcal{L}^m \rightarrow 0,$$

which implies that  $H^0(\tilde{X}, \tilde{\mathcal{L}}^m) \xrightarrow{r} H^0(X, \mathcal{L}^m)$  is surjective.

Since  $X$  is a smooth projective scheme over  $k$ , it follows from Bertini's theorem [Ha77, Theorem II.8.18] that associated to a general section  $s \in H^0(X, \mathcal{L}^m)$ , the divisor of zeros  $D = \text{div}_0(s)$  is smooth over  $k$ . Take a section  $\tilde{s} \in H^0(\tilde{X}, \tilde{\mathcal{L}}^m)$  with  $r(\tilde{s}) = s$ , then the divisor of zeros  $\tilde{D} = \text{div}_0(\tilde{s})$  is a lifting of  $D$  over  $W_2(k)$ . By Lemma 2.2,  $\tilde{D}$  is smooth over  $W_2(k)$ .  $\square$

**Definition 2.4.** Let  $X$  be a smooth scheme over  $k$ .  $X$  is said to be strongly liftable over  $W_2(k)$ , if there is a lifting  $\tilde{X}$  of  $X$  over  $W_2(k)$ , such that for any prime divisor  $D$  on  $X$ ,  $(X, D)$  has a lifting  $(\tilde{X}, \tilde{D})$  over  $W_2(k)$  as in Definition 2.1, where  $\tilde{X}$  is fixed for all liftings  $\tilde{D}$ .

Let  $X$  be a smooth scheme over  $k$ ,  $\tilde{X}$  a lifting of  $X$  over  $W_2(k)$ ,  $D$  a prime divisor on  $X$  and  $\mathcal{L}_D = \mathcal{O}_X(D)$  the associated invertible sheaf on  $X$ . Then there is an exact sequence of abelian sheaves:

$$0 \rightarrow \mathcal{O}_X \xrightarrow{q} \mathcal{O}_{\tilde{X}}^* \xrightarrow{r} \mathcal{O}_X^* \rightarrow 1, \quad (4)$$

where  $q(x) = p(x) + 1$  for  $x \in \mathcal{O}_X$ ,  $p : \mathcal{O}_X \rightarrow p \cdot \mathcal{O}_{\tilde{X}}^*$  is the isomorphism (2) and  $r$  is the reduction modulo  $p$ . The exact sequence (4) gives rise to an exact sequence of cohomology groups:

$$H^1(\tilde{X}, \mathcal{O}_{\tilde{X}}^*) \xrightarrow{r} H^1(X, \mathcal{O}_X^*) \rightarrow H^2(X, \mathcal{O}_X). \quad (5)$$

If  $r : H^1(\tilde{X}, \mathcal{O}_{\tilde{X}}^*) \rightarrow H^1(X, \mathcal{O}_X^*)$  is surjective, then  $\mathcal{L}_D$  has a lifting  $\tilde{\mathcal{L}}_D$ , i.e.  $\tilde{\mathcal{L}}_D$  is an invertible sheaf on  $\tilde{X}$  with  $\tilde{\mathcal{L}}_D|_X = \mathcal{L}_D$ . Tensoring the exact sequence (3) by  $\tilde{\mathcal{L}}_D$ , we have an exact sequence of  $\mathcal{O}_{\tilde{X}}$ -modules:

$$0 \rightarrow \mathcal{L}_D \xrightarrow{p} \tilde{\mathcal{L}}_D \xrightarrow{r} \mathcal{L}_D \rightarrow 0,$$

which gives rise to an exact sequence of cohomology groups:

$$H^0(\tilde{X}, \tilde{\mathcal{L}}_D) \xrightarrow{r_D} H^0(X, \mathcal{L}_D) \rightarrow H^1(X, \mathcal{L}_D). \quad (6)$$

We recall here a sufficient condition for strong liftability [Xie10c, Proposition 3.4].

**Proposition 2.5.** *Let  $X$  be a smooth scheme over  $k$ , and  $\tilde{X}$  a lifting of  $X$  over  $W_2(k)$ . Then  $X$  is strongly liftable if the following two conditions hold:*

- (i)  $r : H^1(\tilde{X}, \mathcal{O}_{\tilde{X}}^*) \rightarrow H^1(X, \mathcal{O}_X^*)$  is surjective;
- (ii) For any prime divisor  $D$  on  $X$ , there is a lifting  $\tilde{\mathcal{L}}_D$  of  $\mathcal{L}_D = \mathcal{O}_X(D)$  such that  $r_D : H^0(\tilde{X}, \tilde{\mathcal{L}}_D) \rightarrow H^0(X, \mathcal{L}_D)$  is surjective.

**Lemma 2.6.** *Let  $X$  be a strongly liftable smooth scheme, and  $\tilde{X}$  a lifting of  $X$  as in Definition 2.4. Then the natural map  $r : H^1(\tilde{X}, \mathcal{O}_{\tilde{X}}^*) \rightarrow H^1(X, \mathcal{O}_X^*)$  is surjective.*

*Proof.* Let  $D = \sum a_i D_i$  be an effective divisor on  $X$ . Then we can lift each distinct prime divisor  $D_i$  to  $\tilde{D}_i$  on  $\tilde{X}$ , hence  $D$  has a lifting  $\tilde{D} = \sum a_i \tilde{D}_i$ , which is an effective Cartier divisor on  $\tilde{X}$ . Let  $\mathcal{L}$  be an invertible sheaf on  $X$ . Assume  $\mathcal{L} = \mathcal{O}_X(E_1 - E_2)$ , where  $E_1$  and  $E_2$  are effective divisors on  $X$  without common irreducible components, then we can lift  $E_i$  to  $\tilde{E}_i$  on  $\tilde{X}$ . Define  $\tilde{\mathcal{L}} = \mathcal{O}_{\tilde{X}}(\tilde{E}_1 - \tilde{E}_2)$ . Then  $\tilde{\mathcal{L}}$  is a lifting of  $\mathcal{L}$  on  $\tilde{X}$ . Thus  $r : H^1(\tilde{X}, \mathcal{O}_{\tilde{X}}^*) \rightarrow H^1(X, \mathcal{O}_X^*)$  is surjective.  $\square$

*Remark 2.7.* Let  $X$  be a strongly liftable smooth scheme,  $\tilde{X}$  a lifting of  $X$  as in Definition 2.4,  $\mathcal{L}$  an invertible sheaf on  $X$ , and  $\tilde{\mathcal{L}}$  a lifting of  $\mathcal{L}$  on  $\tilde{X}$ . Then in general the natural map  $r : H^0(\tilde{X}, \tilde{\mathcal{L}}) \rightarrow H^0(X, \mathcal{L})$  is not necessarily surjective. The following example is given by Illusie and Kato. Let  $C$  be a smooth projective curve of genus  $g \geq 1$ . Then  $C$  is strongly liftable by [Xie10c, Theorem 1.3(i)]. Let  $\tilde{C}$  be a lifting of  $C$ . By the exact sequence (4), we have an exact sequence of cohomology groups:

$$0 \rightarrow H^1(C, \mathcal{O}_C) \rightarrow H^1(\tilde{C}, \mathcal{O}_{\tilde{C}}^*) \xrightarrow{r} H^1(C, \mathcal{O}_C^*).$$

Since  $\dim H^1(C, \mathcal{O}_C) = g \geq 1$ , there exists a non-trivial invertible sheaf  $\tilde{\mathcal{L}}$  on  $\tilde{C}$  such that  $\mathcal{L} = \tilde{\mathcal{L}}|_C \cong \mathcal{O}_C$ . Then the section  $s \in H^0(C, \mathcal{L})$  giving an isomorphism  $\mathcal{O}_C \rightarrow \mathcal{L}$  cannot be lifted to a section of  $\tilde{\mathcal{L}}$ . Thus  $r : H^0(\tilde{C}, \tilde{\mathcal{L}}) \rightarrow H^0(C, \mathcal{L})$  is not surjective.

For convenience of the reader, we recall the Kawamata-Viehweg vanishing theorem for smooth projective varieties in positive characteristic under the lifting condition over  $W_2(k)$  of certain log pairs, which has first been proved by Hara [Ha98].

**Theorem 2.8** (Kawamata-Viehweg vanishing in char.  $p > 0$ ). *Let  $X$  be a smooth projective variety of dimension  $d$ ,  $H$  an ample  $\mathbb{Q}$ -divisor on  $X$ , and  $D$  a simple normal crossing divisor containing  $\text{Supp}(\langle H \rangle)$ . Assume that  $(X, D)$  admits a lifting over  $W_2(k)$ . Then*

$$H^i(X, \Omega_X^j(\log D)(-\lceil H \rceil)) = 0 \text{ holds for any } i + j < \inf(d, p).$$

*In particular,  $H^i(X, K_X + \lceil H \rceil) = 0$  holds for any  $i > d - \inf(d, p)$ .*

If  $X$  is a smooth projective variety strongly liftable over  $W_2(k)$ , then the Kawamata-Viehweg vanishing theorem holds on  $X$ , which is a direct consequence of Definition 2.4 and Theorem 2.8.

### 3 Proofs of the main theorems

In [Xie10c], it was proved that  $\mathbb{A}_k^n$ ,  $\mathbb{P}_k^n$ , smooth projective curves, smooth rational surfaces, and certain smooth complete intersections in  $\mathbb{P}_k^n$  are strongly liftable over  $W_2(k)$ . It follows easily from Proposition 2.5 that smooth affine schemes are strongly liftable over  $W_2(k)$ . In this section, we shall give further examples of strongly liftable schemes and some applications to vanishing theorems.

**Theorem 3.1.** *Any smooth toric variety is strongly liftable over  $W_2(k)$ .*

*Proof.* First of all, we recall some definitions and notations for toric varieties from [Fu93]. Let  $N$  be a lattice of rank  $n$ , and  $M$  the dual lattice of  $N$ . Let  $\Delta$  be a fan consisting of strongly convex rational polyhedral cones in  $N_{\mathbb{R}}$ , and let  $A$  be a ring. In general, we denote the toric variety associated to the fan  $\Delta$  over the ground ring  $A$  by  $X(\Delta, A)$ . More precisely, to each cone  $\sigma$  in  $\Delta$ , there is an associated affine toric variety  $U_{(\sigma, A)} = \text{Spec } A[\sigma^{\vee} \cap M]$ , and these  $U_{(\sigma, A)}$  can be glued together to form the toric variety  $X(\Delta, A)$  over  $\text{Spec } A$ . Note that almost all definitions, constructions and results for toric varieties are independent of the ground ring  $A$ , although everything is stated in [Fu93] over the complex number field  $\mathbb{C}$ .

Let  $X = X(\Delta, k)$  be a smooth toric variety over  $k$  associated to a fan  $\Delta$ . Let  $\tilde{X} = X(\Delta, W_2(k))$ . Note that  $U_{(\sigma, k)} = \text{Spec } k[\sigma^{\vee} \cap M]$ ,  $U_{(\sigma, W_2(k))} = \text{Spec } W_2(k)[\sigma^{\vee} \cap M]$  is flat over  $W_2(k)$  and  $U_{(\sigma, W_2(k))} \times_{\text{Spec } W_2(k)} \text{Spec } k = U_{(\sigma, k)}$ , hence  $\tilde{X}$  is a lifting of  $X$  over  $W_2(k)$ .

By [Fu93, Page 74, Corollary], we have  $H^2(X, \mathcal{O}_X) = 0$ , which implies that any invertible sheaf  $\mathcal{L}$  on  $X$  has a lifting  $\tilde{\mathcal{L}}$  on  $\tilde{X}$  by the exact sequence (5). In fact, we can prove the liftability of invertible sheaves in an explicit way. Let  $\mathcal{L}$  be an invertible sheaf on  $X$ . By [Fu93, Page 63, Proposition], we have an exact sequence:

$$0 \rightarrow M \rightarrow \text{Div}_T(X) \rightarrow \text{Pic}(X) \rightarrow 0.$$

Therefore there exists a torus invariant divisor  $D$  on  $X$  such that  $\mathcal{L} = \mathcal{O}_X(D)$ . Assume that  $\{u(\sigma) \in M/M(\sigma)\} \in \text{proj lim } M/M(\sigma)$  determines the torus invariant divisor  $D$ . Then the same data  $\{u(\sigma) \in M/M(\sigma)\}$  also determines a torus invariant divisor  $\tilde{D}$  on  $\tilde{X}$  (we have only to change the base  $k$  into  $W_2(k)$ ). Thus the invertible sheaf  $\mathcal{L} = \mathcal{O}_X(D)$  has a lifting  $\tilde{\mathcal{L}} = \mathcal{O}_{\tilde{X}}(\tilde{D})$  on  $\tilde{X}$ .

Let  $E$  be a prime divisor on  $X$ , and  $\mathcal{L} = \mathcal{O}_X(E)$  the associated invertible sheaf on  $X$ . Then we can take torus invariant divisors  $D$  and  $\tilde{D}$  as above such that  $\mathcal{L} = \mathcal{O}_X(D)$  and the invertible sheaf  $\tilde{\mathcal{L}} = \mathcal{O}_{\tilde{X}}(\tilde{D})$  lifts  $\mathcal{L}$ . Let  $v_i$  be the first lattice points in the edges of the cones of maximal dimension in  $\Delta$ ,  $D_i$  the corresponding orbit closures in  $X$ , and  $\tilde{D}_i$  the corresponding orbit closures in  $\tilde{X}$  ( $1 \leq i \leq N$ ). Then the torus invariant divisors  $D = \sum_{i=1}^N a_i D_i$  and  $\tilde{D} = \sum_{i=1}^N a_i \tilde{D}_i$  determine a rational convex polyhedral  $P_D$  in  $M_{\mathbb{R}}$  defined by

$$P_D = \{u \in M_{\mathbb{R}} \mid \langle u, v_i \rangle \geq -a_i, 1 \leq i \leq N\}.$$

By [Fu93, Page 66, Lemma], we have

$$H^0(X, D) = \bigoplus_{u \in P_D \cap M} k \cdot \chi^u, \quad H^0(\tilde{X}, \tilde{D}) = \bigoplus_{u \in P_{\tilde{D}} \cap M} W_2(k) \cdot \chi^u.$$

Thus the map  $H^0(\tilde{X}, \tilde{D}) \xrightarrow{r} H^0(X, D)$  induced by the natural surjection  $W_2(k) \xrightarrow{r} k$  is obviously surjective. Hence  $r_E : H^0(\tilde{X}, \tilde{\mathcal{L}}) \rightarrow H^0(X, \mathcal{L})$  is surjective. By Proposition 2.5,  $X$  is strongly liftable over  $W_2(k)$ .  $\square$

As a consequence, we have the Kawamata-Viehweg vanishing theorem on smooth projective toric varieties in positive characteristic for ample  $\mathbb{Q}$ -divisors which are not necessarily torus invariant, whereas Mustață [Mu02] and Fujino [Fu07] have proved certain more general versions of the Kawamata-Viehweg vanishing theorem on complete toric varieties in arbitrary characteristic for nef and big torus invariant  $\mathbb{Q}$ -divisors.

**Corollary 3.2.** *Let  $X$  be a smooth projective toric variety of dimension  $d$ ,  $H$  an ample  $\mathbb{Q}$ -divisor on  $X$ , and  $D$  a simple normal crossing divisor containing  $\text{Supp}(\langle H \rangle)$ . Then*

$$H^i(X, \Omega_X^j(\log D)(-\lceil H \rceil)) = 0 \quad \text{holds for any } i + j < \inf(d, p).$$

*In particular,  $H^i(X, K_X + \lceil H \rceil) = 0$  holds for any  $i > d - \inf(d, p)$ .*

*Proof.* It follows from Theorems 2.8 and 3.1.  $\square$

**Corollary 3.3.** *Let  $X$  be a smooth toric variety of dimension  $d$ ,  $\pi : X \rightarrow S$  a projective toric morphism onto a toric variety  $S$ , and  $H$  a  $\pi$ -ample  $\mathbb{Q}$ -divisor on  $X$  with  $\text{Supp}(\langle H \rangle)$  being simple normal crossing. Then  $R^i \pi_* \mathcal{O}_X(K_X + \lceil H \rceil) = 0$  holds for any  $i > d - \inf(d, p)$ .*

*Proof.* It follows from Corollary 3.2 and a similar proof to that of [KMM87, Theorem 1-2-3].  $\square$

The following vanishing result [KK, Corollary 2.2.5] is useful, which holds in arbitrary characteristic.

**Lemma 3.4.** *Let  $f : Y \rightarrow X$  be a proper birational morphism between normal surfaces with  $Y$  smooth and with exceptional locus  $E = \cup_{i=1}^s E_i$ . Let  $L$  be an integral divisor on  $Y$ ,  $0 \leq b_1, \dots, b_s < 1$  rational numbers, and  $N$  an  $f$ -nef  $\mathbb{Q}$ -divisor on  $Y$ . Assume  $L \equiv K_Y + \sum_{i=1}^s b_i E_i + N$ . Then  $R^1 f_* \mathcal{O}_Y(L) = 0$  holds.*

The following lemma is a generalization of [EL93, (1.2)], which holds in arbitrary characteristic.

**Lemma 3.5.** *Let  $X$  be a normal projective surface,  $f : Y \rightarrow X$  a resolution, and  $H$  a  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor on  $X$ . If  $H^1(Y, K_Y + \lceil f^* H \rceil) = 0$ , then  $H^1(X, K_X + \lceil H \rceil) = 0$  holds.*

*Proof.* Let  $E = \cup_{i=1}^s E_i$  be the exceptional locus of  $f$ . Write  $H = \lceil H \rceil - \sum_{j=1}^r b_j D_j$ , where  $D_j$  are distinct prime divisors and  $0 < b_j < 1$ . Write  $f^* D_j = f_*^{-1} D_j + \sum_{i=1}^s q_{ji} E_i$ , where  $f_*^{-1} D_j$  is the strict transform of  $D_j$  and  $q_{ij} \geq 0$ . Thus we have

$$f^* H = f^* \lceil H \rceil - \sum_{j=1}^r b_j f_*^{-1} D_j - \sum_{i=1}^s \left( \sum_{j=1}^r b_j q_{ji} \right) E_i, \quad (7)$$

$$\lceil f^* H \rceil = f^* \lceil H \rceil - \sum_{i=1}^s \left[ \sum_{j=1}^r b_j q_{ji} \right] E_i. \quad (8)$$



By (7) and (8), we have

$$K_Y + \lceil f^*H \rceil \equiv K_Y + \sum_{i=1}^s \left\langle \sum_{j=1}^r b_j q_{ji} \right\rangle E_i + (f^*H + \sum_{j=1}^r b_j f_*^{-1} D_j),$$

which implies  $R^1 f_* \mathcal{O}_Y(K_Y + \lceil f^*H \rceil) = 0$  by Lemma 3.4.

By [KM98, Propositions 5.75 and 5.77], the trace map  $\text{Trace}_{X/Y} : f_* \mathcal{O}_Y(K_Y) \rightarrow \mathcal{O}_X(K_X)$  is an injective homomorphism, furthermore, it is an isomorphism over the points where  $f^{-1}$  is an isomorphism. It follows from (8) that we have the following injective homomorphism, which is an isomorphism over the points where  $f^{-1}$  is an isomorphism:

$$f_* \mathcal{O}_Y(K_Y + \lceil f^*H \rceil) \rightarrow \mathcal{O}_X(K_X + \lceil H \rceil),$$

hence the quotient sheaf  $\mathcal{Q}$  of  $\mathcal{O}_X(K_X + \lceil H \rceil)$  by  $f_* \mathcal{O}_Y(K_Y + \lceil f^*H \rceil)$  is supported on a finite set of points. By the Leray spectral sequence, we have

$$H^1(X, f_* \mathcal{O}_Y(K_Y + \lceil f^*H \rceil)) = H^1(Y, K_Y + \lceil f^*H \rceil) = 0,$$

which together with  $H^1(X, \mathcal{Q}) = 0$  implies  $H^1(X, K_X + \lceil H \rceil) = 0$ .  $\square$

There is a generalization of [Xie10c, Theorem 1.4], where no singularity assumption is made.

**Theorem 3.6.** *Let  $X$  be a normal projective surface and  $H$  a nef and big  $\mathbb{Q}$ -divisor on  $X$ . If  $X$  is birational to a strongly liftable smooth projective surface  $Z$ , then  $H^1(X, K_X + \lceil H \rceil) = 0$  holds.*

*Proof.* Take a resolution  $f : Y \rightarrow X$  such that  $\text{Supp}(\{f^*H\})$  is simple normal crossing. Thus  $(Y, \{f^*H\})$  is Kawamata log terminal (KLT, for short), and  $K_Y + \lceil f^*H \rceil - (K_Y + \{f^*H\}) = f^*H$  is nef and big. By Kodaira's lemma, there exists an effective  $\mathbb{Q}$ -divisor  $B$  on  $Y$  such that  $(Y, \{f^*H\} + B)$  is KLT and  $K_Y + \lceil f^*H \rceil - (K_Y + \{f^*H\} + B) = f^*H - B$  is ample. By [Xie10c, Theorem 4.2], we have  $H^1(Y, K_Y + \lceil f^*H \rceil) = 0$ , which implies  $H^1(X, K_X + \lceil H \rceil) = 0$  by Lemma 3.5.  $\square$

There is also a generalization of [Xie10b, Theorem 1.4].

**Corollary 3.7.** *Let  $X$  be a normal projective rational surface and  $H$  a nef and big  $\mathbb{Q}$ -divisor on  $X$ . Then  $H^1(X, K_X + \lceil H \rceil) = 0$  holds.*

*Proof.* It follows from [Xie10c, Theorem 1.3] and Theorem 3.6.  $\square$

By means of Lemma 3.5, we can give an alternative proof of [Sa84, Theorem 5.1].

**Corollary 3.8.** *Let  $X$  be a normal projective surface over an algebraically closed field  $k$  with  $\text{char}(k) = 0$ , and  $H$  a nef and big  $\mathbb{Q}$ -divisor on  $X$ . Then  $H^1(X, K_X + \lceil H \rceil) = 0$  holds.*

*Proof.* Take a resolution  $f : Y \rightarrow X$  such that  $\text{Supp}(\langle f^*H \rangle)$  is simple normal crossing. Since  $f^*H$  is nef and big, by [KMM87, Theorem 1-2-3], we have  $H^1(Y, K_Y + \lceil f^*H \rceil) = 0$ , which implies  $H^1(X, K_X + \lceil H \rceil) = 0$  by Lemma 3.5.  $\square$

## 4 Cyclic cover trick over $W_2(k)$

The cyclic cover trick is a powerful technique, which is used widely in algebraic geometry. The general theory of cyclic covers over a field of arbitrary characteristic has already been given in [EV92, §3]. In this section, we shall deduce the cyclic cover trick over  $W_2(k)$  and use it to study the behavior of cyclic covers over strongly liftable schemes.

**Theorem 4.1.** *Let  $X$  be a smooth scheme, and  $\mathcal{L}$  an invertible sheaf on  $X$ . Let  $N$  be a positive integer prime to  $p$ , and  $D$  an effective divisor on  $X$  with  $\mathcal{L}^N = \mathcal{O}_X(D)$ .*

- (i) *Let  $\mathcal{A} = \bigoplus_{i=0}^{N-1} \mathcal{L}^{-i}(\lfloor \frac{iD}{N} \rfloor)$ . Then  $\mathcal{A}$  is an  $\mathcal{O}_X$ -algebra. Let  $Y = \mathbf{Spec} \mathcal{A}$ . Then  $Y$  is normal and the natural projection  $\pi : Y \rightarrow X$  is a finite surjective morphism of degree  $N$ , which is called the cyclic cover obtained by taking the  $N$ -th root out of  $D$ . Furthermore, if  $\text{Sing}(D_{\text{red}}) = \emptyset$ , then  $Y$  is smooth.*
- (ii) *If  $X$  has a lifting  $\tilde{X}$  over  $W_2(k)$ ,  $\mathcal{L}$  has a lifting  $\tilde{\mathcal{L}}$  on  $\tilde{X}$ , and  $D$  has a lifting  $\tilde{D}$  on  $\tilde{X}$  with  $\tilde{\mathcal{L}}^N = \mathcal{O}_{\tilde{X}}(\tilde{D})$ , then  $Y$  is liftable over  $W_2(k)$ . In fact, we have an induced cyclic cover  $\tilde{\pi} : \tilde{Y} \rightarrow \tilde{X}$ , such that  $\tilde{\pi}$  is a lifting of  $\pi$  over  $W_2(k)$ . Furthermore, if  $\text{Sing}(D_{\text{red}}) = \emptyset$ , then  $\tilde{Y}$  is smooth over  $W_2(k)$ , and  $\tilde{\pi} : \tilde{Y} \rightarrow \tilde{X}$  has similar properties to those of  $\pi : Y \rightarrow X$ , i.e. the statements of [EV92, Claim 3.13 and Lemma 3.15] hold for  $\tilde{\pi} : \tilde{Y} \rightarrow \tilde{X}$ , where the phrase “nonsingular” should be replaced by “smooth over  $W_2(k)$ ”.*

*Proof.* (i) The construction and the properties of the cyclic cover  $\pi : Y \rightarrow X$  described as above have already been given in [EV92, §3].

(ii) Let  $\tilde{\mathcal{A}} = \bigoplus_{i=0}^{N-1} \tilde{\mathcal{L}}^{-i}(\lfloor \frac{i\tilde{D}}{N} \rfloor)$ . By using the homomorphism  $\tilde{s} : \mathcal{O}_{\tilde{X}} \rightarrow \mathcal{O}_{\tilde{X}}(\tilde{D}) = \tilde{\mathcal{L}}^N$ , we can prove that  $\tilde{\mathcal{A}}$  is an  $\mathcal{O}_{\tilde{X}}$ -algebra. Let  $\tilde{Y} = \mathbf{Spec} \tilde{\mathcal{A}}$ . Then  $\tilde{Y} \times_{\mathbf{Spec} W_2(k)} \mathbf{Spec} k = Y$ . Since  $\tilde{\mathcal{A}}$  is a locally free sheaf on  $\tilde{X}$  and  $\tilde{X}$  is smooth over  $W_2(k)$  by Lemma 2.2,  $\tilde{Y}$  is flat over  $W_2(k)$ . Thus  $\tilde{Y}$  is a lifting of  $Y$  over  $W_2(k)$ . Let  $\tilde{\pi} : \tilde{Y} \rightarrow \tilde{X}$  be the natural projection. Then we have the following cartesian square:

$$\begin{array}{ccc} Y & \xhookrightarrow{\iota} & \tilde{Y} \\ \pi \downarrow & & \downarrow \tilde{\pi} \\ X & \xhookrightarrow{\iota} & \tilde{X}, \end{array}$$

which implies that  $\tilde{\pi}$  is a lifting of  $\pi$  over  $W_2(k)$ .

If  $\text{Sing}(D_{\text{red}}) = \emptyset$ , then  $Y$  is smooth. By Lemma 2.2,  $\tilde{Y}$  is smooth over  $W_2(k)$ . In fact, the smoothness of  $\tilde{Y}$  can also be proved by a local calculation. Since  $\text{Sing}(D_{\text{red}}) = \emptyset$ , by Lemma 2.2, the irreducible components of  $\tilde{D}$  are disjoint and smooth over  $W_2(k)$ . Since the statements of [EV92, Claim 3.13 and Lemma 3.15] (replacing “nonsingular” by “smooth over  $W_2(k)$ ”) are local problems, we may assume that  $X = \text{Spec} B$ ,  $D = \alpha_1 D_1$ ,  $\tilde{X} = \text{Spec} \tilde{B}$  and  $\tilde{D} = \alpha_1 \tilde{D}_1$ . We can factorize  $\tilde{\pi} : \tilde{Y} \rightarrow \tilde{X}$  into two parts: one is an étale cover  $\tilde{\pi}_1 : \tilde{Z} \rightarrow \tilde{X}$  of degree  $\gcd(N, \alpha_1)$ , and the other is a ramified cover  $\tilde{\pi}_2 : \tilde{Y} \rightarrow \tilde{Z}$  of degree  $N/\gcd(N, \alpha_1)$ , hence the properties of  $\tilde{\pi} : \tilde{Y} \rightarrow \tilde{X}$  follow from an almost identical local calculation to that of [EV92, Claim 3.13].  $\square$

First of all, we give a remark on Theorem 4.1.

*Remark 4.2.* In Theorem 4.1(ii), if  $D$  cannot be lifted over  $W_2(k)$ , then  $Y$  is not necessarily liftable over  $W_2(k)$ . Such an example has been given in [Ra78] and was generalized in [Xie10a, Theorem 3.6]. More precisely, given a Raynaud-Tango curve  $C$ , there are a  $\mathbb{P}^1$ -bundle  $f : X \rightarrow C$  and an invertible sheaf  $\mathcal{L} = \mathcal{O}_X(M)$  on  $X$  such that  $\mathcal{L}^N = \mathcal{O}_X(D)$  with  $D = E + C'$ , where  $X, E, C', M$  are constructed as in [Xie10a, Theorem 3.6]. By [Xie10b, Corollary 4.2],  $X$  has a lifting  $\tilde{X}$  over  $W_2(k)$ . Since  $H^2(X, \mathcal{O}_X) = 0$ , by the exact sequence (5),  $\mathcal{L}$  has a lifting  $\tilde{\mathcal{L}}$  on  $\tilde{X}$ . However, the divisor  $D$  cannot be lifted over  $W_2(k)$  (see [Xie10b, Corollary 1.10] for the reason). Let  $\pi : Y \rightarrow X$  be the cyclic cover obtained by taking the  $N$ -th root out of  $D$ . Then  $Y$  is a smooth projective surface and there exists a counterexample to the Kodaira vanishing theorem on  $Y$  (see [Xie10a, Theorem 3.6] for the proof). Hence  $Y$  is not liftable over  $W_2(k)$  by [DI87, Corollaire 2.8].

**Corollary 4.3.** *Let  $X$  be a smooth projective variety, and  $\mathcal{L}$  an invertible sheaf on  $X$ . Let  $N$  be a positive integer prime to  $p$ , and  $D$  an effective divisor on  $X$  with  $\mathcal{L}^N = \mathcal{O}_X(D)$ . Let  $\pi : Y \rightarrow X$  be the cyclic cover obtained by taking the  $N$ -th root out of  $D$ . If  $X$  is strongly liftable over  $W_2(k)$ ,  $H^1(X, \mathcal{L}^N) = 0$  and  $\text{Sing}(D_{\text{red}}) = \emptyset$ , then  $Y$  is a smooth projective scheme which is liftable over  $W_2(k)$ .*

*Proof.* Since  $X$  is strongly liftable, we can take a lifting  $\tilde{X}$  of  $X$  as in Definition 2.4. By Lemma 2.6,  $\mathcal{L}$  has a lifting  $\tilde{\mathcal{L}}$  on  $\tilde{X}$ . Since  $H^1(X, \mathcal{L}^N) = 0$ , by the exact sequence (6), the natural map  $r : H^0(\tilde{X}, \tilde{\mathcal{L}}^N) \rightarrow H^0(X, \mathcal{L}^N)$  is surjective. Thus the section  $s \in H^0(X, \mathcal{L}^N)$  corresponding to the effective divisor  $D$  has a lifting  $\tilde{s} \in H^0(\tilde{X}, \tilde{\mathcal{L}}^N)$ , which corresponds to an effective Cartier divisor  $\tilde{D}$  on  $\tilde{X}$  lifting  $D$ . Now, the conclusion follows from Theorem 4.1(ii).  $\square$

**Corollary 4.4.** *Let  $X$  be a smooth projective toric variety, and  $\mathcal{L}$  an invertible sheaf on  $X$ . Let  $N$  be a positive integer prime to  $p$ , and  $D$  an effective divisor on  $X$  with  $\mathcal{L}^N = \mathcal{O}_X(D)$  and  $\text{Sing}(D_{\text{red}}) = \emptyset$ . Let  $\pi : Y \rightarrow X$  be the cyclic cover obtained by taking the  $N$ -th root out of  $D$ . Then  $Y$  is a smooth projective scheme which is liftable over  $W_2(k)$ .*

*Proof.* Assume  $X = X(\Delta, k)$ . Then  $\tilde{X} = X(\Delta, W_2(k))$  is a lifting of  $X$ . By a similar argument to the proof of Theorem 3.1, we can take a lifting  $\tilde{\mathcal{L}}$  of  $\mathcal{L}$  such that the natural map  $r : H^0(\tilde{X}, \tilde{\mathcal{L}}^N) \rightarrow H^0(X, \mathcal{L}^N)$  is surjective. Thus the section  $s \in H^0(X, \mathcal{L}^N)$  corresponding to the effective divisor  $D$  has a lifting  $\tilde{s} \in H^0(\tilde{X}, \tilde{\mathcal{L}}^N)$ , which corresponds to an effective Cartier divisor  $\tilde{D}$  on  $\tilde{X}$  lifting  $D$ . Now, the conclusion follows from Theorem 4.1(ii).  $\square$

By using Corollary 4.4, we can construct a large class of liftable smooth projective varieties obtained by taking cyclic covers over toric varieties. In particular, there do exist many liftable smooth projective varieties of general type. We give the following easy examples to illustrate this idea.

*Example 4.5.* (i) Let  $X = \mathbb{P}(\mathcal{O} \oplus \mathcal{O}(-n))$  be the Hirzebruch surface over  $\mathbb{P}_k^1$ , where  $n \geq 0$  is an even number and  $p \geq 3$ . Then there are two natural sections  $E_1, E_2$  of  $f : X \rightarrow \mathbb{P}^1$  such that  $E_2 \sim E_1 + nF$ ,  $E_1^2 = -n$ ,  $E_2^2 = n$  and  $E_1 \cap E_2 = \emptyset$ , where  $F$  is the fiber of  $f$ . Let  $\mathcal{L} = \mathcal{O}_X(E_1 + \frac{n}{2}F)$ . Then  $\mathcal{L}^2 = \mathcal{O}_X(E_1 + E_2)$ . Let  $\pi : Y \rightarrow X$  be the cyclic cover obtained by taking the square root out of  $E_1 + E_2$ . Since  $X$  is a

toric variety and  $\text{Sing}(E_1 + E_2) = \emptyset$ , by Corollary 4.4,  $Y$  is a liftable smooth projective surface.

(ii) Let  $X = \mathbb{P}_k^n$ ,  $\mathcal{L} = \mathcal{O}_X(1)$  and  $N$  a positive integer such that  $n \geq 2$ ,  $(N, p) = 1$  and  $N > n + 2$ . Let  $H$  be a general element in the linear system of  $\mathcal{O}_X(N)$ . Then  $H$  is a smooth irreducible hypersurface of degree  $N$  in  $X$  with  $\mathcal{L}^N = \mathcal{O}_X(H)$ . Let  $\pi : Y \rightarrow X$  be the cyclic cover obtained by taking the  $N$ -th root out of  $H$ . Then by Corollary 4.4,  $Y$  is a liftable smooth projective variety. By Hurwitz's formula, we have  $K_Y = \pi^*(K_X + \frac{N-1}{N}H)$ . Since the degree of  $K_X + \frac{N-1}{N}H$  is  $N - (n + 2) > 0$ ,  $K_Y$  is an ample divisor on  $Y$ , hence  $Y$  is of general type.

Finally, we give a remark on Corollary 4.3.

*Remark 4.6.* With the same notation and assumptions as in Corollary 4.3, although  $Y$  is liftable over  $W_2(k)$ , in general, we cannot hope that  $Y$  is strongly liftable over  $W_2(k)$ . The following local example given by Illusie shows that it is a quite subtle problem to determine whether  $Y$  is strongly liftable or not.

Let  $X = \mathbb{A}_k^2 = \text{Spec } k[x, y]$ ,  $D$  the line  $x + 1 = 0$  and  $\pi : Y \rightarrow X$  the cyclic cover obtained by taking the square root out of  $D$ . Let  $\tilde{X} = \mathbb{A}_{W_2(k)}^2 = \text{Spec } W_2(k)[x, y]$ ,  $\tilde{D}$  the line  $x + 1 = 0$  and  $\tilde{\pi} : \tilde{Y} \rightarrow \tilde{X}$  the cyclic cover obtained by taking the square root out of  $\tilde{D}$ . It is easy to see that  $\tilde{\pi} : \tilde{Y} \rightarrow \tilde{X}$  is a lifting of  $\pi : Y \rightarrow X$ .

Let  $E$  be the nodal cubic curve  $y^2 = x^2(x + 1)$  in  $X$ . Then  $\pi^*(E)$  consists of two irreducible components  $E_1, E_2$ , which are smooth and intersect transversally. More precisely, let  $t^2 = x + 1$ , then  $E_1, E_2$  are defined by  $y = \pm t(t^2 - 1)$  respectively. Thus both  $E_1$  and  $E_2$  are isomorphic to the normalization of  $E$  and map onto  $E$  through  $\pi$ . On the other hand, take a lifting  $\tilde{E} \subset \tilde{X}$  of  $E$ , which is defined by  $y^2 = x(x + 1)(x + p)$ . Then  $\tilde{E}_{12} = \tilde{\pi}^*(\tilde{E})$  is an irreducible divisor on  $\tilde{Y}$  defined by  $y^2 = (t^2 - 1)t^2(t^2 + p - 1)$ . Since  $\tilde{E}_{12}$  is not relatively simple normal crossing over  $W_2(k)$ , by Lemma 2.2, it can never be a lifting of  $E_1$  or  $E_2$  or  $E_1 + E_2$ .

$$\begin{array}{ccc} E_1 + E_2 & \dashrightarrow & \tilde{E}_{12} \\ \pi \downarrow & & \downarrow \tilde{\pi} \\ E & \hookrightarrow & \tilde{E} \end{array}$$

For example, if we would like to lift  $E_1 \subset Y$ , then first we need to lift  $E = \pi_*(E_1) \subset X$ . If  $E$  has a unique lifting, e.g.  $\tilde{E}$  defined as above, then  $\tilde{E}_{12} = \tilde{\pi}^*(\tilde{E})$  is not a lifting of  $E_1$ . Hence  $E_1$  cannot be lifted over  $W_2(k)$ , which shows that the strong liftability of  $X$  is not sufficient to ensure that the cyclic cover  $Y$  is also strongly liftable.

## 5 Some corrections

In this section, we will give some corrections to the mistakes in [Xie10c]. First of all, the necessity of [Xie10c, Proposition 3.4] does not hold in general (see Remark 2.7 for the reason), and it has already been changed into Proposition 2.5.

*Remark 5.1.* If  $C$  is a Tango curve, then there is a decomposable locally free sheaf  $\mathcal{F}$  of rank two on  $C$  such that  $Z = \mathbb{P}(\mathcal{F})$  is not strongly liftable over  $W_2(k)$ . We use the same notation and construction as in [Xie10a, Theorem 3.1]. Let  $\mathcal{F} = \mathcal{O}_C \oplus \mathcal{L}$ . Then  $\mathcal{F} \cong \mathcal{E}$  on a dense open subset of  $C$ , hence  $Z = \mathbb{P}(\mathcal{F})$  is birational to  $X = \mathbb{P}(\mathcal{E})$ .

Assume the contrary that  $Z$  is strongly liftable. Then by [Xie10c, Theorem 4.2], the Kawamata-Viehweg vanishing theorem should hold on  $X$ , which is a contradiction. This example shows that [Xie10c, Proposition 3.8] does not hold in general. In addition, the conclusion in [Xie10c, Remark 3.9] does not hold yet in general. Thus we change [Xie10c, Proposition 3.8] into the following statement.

**Proposition 5.2.** *Let  $C = \mathbb{P}_k^1$ ,  $\mathcal{G}$  an invertible sheaf on  $C$ , and  $\mathcal{E} = \mathcal{O}_C \oplus \mathcal{G}$ . Let  $X = \mathbb{P}(\mathcal{E})$  and  $f : X \rightarrow C$  the natural projection. Then  $X$  is strongly liftable over  $W_2(k)$ .*

*Proof.* We proceed the same argument as in the proof of [Xie10c, Proposition 3.8]. Finally, we have only to show that each  $\pi_D^i : H^0(\tilde{C}, \tilde{\mathcal{G}}^i \otimes \tilde{\mathcal{H}}) \rightarrow H^0(C, \mathcal{G}^i \otimes \mathcal{H})$  is surjective. If  $\deg(\mathcal{G}^i \otimes \mathcal{H}) < 0$ , then  $H^0(C, \mathcal{G}^i \otimes \mathcal{H}) = 0$ , hence  $\pi_D^i$  is surjective. If  $\deg(\mathcal{G}^i \otimes \mathcal{H}) \geq 0$ , then  $H^1(C, \mathcal{G}^i \otimes \mathcal{H}) = 0$  by Serre's duality, hence by the exact sequence (6),  $\pi_D^i : H^0(\tilde{C}, \tilde{\mathcal{G}}^i \otimes \tilde{\mathcal{H}}) \rightarrow H^0(C, \mathcal{G}^i \otimes \mathcal{H})$  is surjective.

In fact, we have an alternative proof. Since  $X$  is a Hirzebruch surface,  $X$  is toric, hence is strongly liftable by Theorem 3.1.  $\square$

Finally, we change [Xie10c, Theorem 1.3] into the following statement.

**Theorem 5.3.** *The following schemes are strongly liftable:*

- (i)  $\mathbb{A}_k^n$ ,  $\mathbb{P}_k^n$  and a smooth projective curve;
- (ii) a smooth projective variety of Picard number 1 which is a complete intersection in  $\mathbb{P}_k^n$ ;
- (iii) a smooth projective rational surface.

*Proof.* Both (i) and (ii) have already been proved in [Xie10c]. (iii) follows from [Xie10c, Proposition 2.6] and Proposition 5.2.  $\square$

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